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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	9983
First Inventor	Douglas A. Hammond
Title	Cycloolefin Copolymer Resins Having Improved Optical Properties
Express Mail Label No.	EL676571675US

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

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| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/>(Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 22]<br/>(preferred arrangement set forth below)           <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets _____]</p> <p>5. Oath or Declaration—Unsigned [Total Pages 3]           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 18 completed)               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</li> </ul> </li> </ul> </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission<br/>(if applicable, all necessary)           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies);</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> </p> |
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### ACCOMPANYING APPLICATION PARTS

- |  |  |
|--|--|
| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br/>(when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/>(Should be specifically itemized)<br/>Certified Copy of Priority Document(s)<br/>(if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122</p> <p>16. <input type="checkbox"/> (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> | <p>17. <input type="checkbox"/> Other:</p> |
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18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

Continuation     Divisional     Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a continuation or divisional application is inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		25688 (Insert Customer No. or Attach bar code label here)		or <input type="checkbox"/> Correspondence address below	
Name	Karen E. Klumas				
	Ticona LLC				
Address	86 Morris Avenue				
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Name (Print/Type)	Karen E. Klumas	Registration No. (Attorney/Agent)	31,070
Signature			

Date 12/10/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**PATENT APPLICATION TRANSMITTAL LETTER**  
(Large Entity)

Docket No.  
9983

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

**Douglas A. Hammond and Dirk Heukelbach**

For: **Cycloolefin Copolymer Resins Having Improved Optical Properties**

Enclosed are:

- Certificate of Mailing with Express Mail Mailing Label No. **EL676571675US**  
 sheets of drawings.  
 A certified copy of a application.  
 Declaration       Signed.       Unsigned.  
 Power of Attorney  
 Information Disclosure Statement  
 Preliminary Amendment  
 Other:

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
<b>Total Claims</b>	19	- 20 =	0	x \$18.00	\$0.00
<b>Indep. Claims</b>	2	- 3 =	0	x \$84.00	\$0.00
<b>Multiple Dependent Claims (check if applicable)</b>					\$0.00
				<b>BASIC FEE</b>	<b>\$740.00</b>
				<b>TOTAL FILING FEE</b>	<b>\$740.00</b>

- A check in the amount of to cover the filing fee is enclosed.  
 The Commissioner is hereby authorized to charge and credit Deposit Account No. **08-2453** as described below. A duplicate copy of this sheet is enclosed.  
 Charge the amount of **\$740.00** as filing fee.  
 Credit any overpayment.  
 Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.  
 Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: **12/10/01**



Signature

Karen E. Klumas  
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Ticona LLC  
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Summit, New Jersey 07901  
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CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Douglas A. Hammond and Dirk Heukelbach

Docket No.

9983

Serial No.  
UnknownFiling Date  
December 10, 2001Examiner  
UnknownGroup Art Unit  
Unknown

Invention: Cycloolefin Copolymer Resins Having Improved Optical Properties

I hereby certify that the following correspondence:

Utility Patent Application Transmittal (1 page), Fee Transmittal (1 page), Specification (22 pages) Oath of Declaration-Unsigned (3 pages)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

December 10, 2001

(Date)

Monica Y. Pettus

(Typed or Printed Name of Person Mailing Correspondence)



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